

2877B
4.7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



JAN 08 2002

Applicant: Robert K. Rowe et al.
 Serial No.: 09/832,631 Examiner: Unknown
 Filed: April 11, 2001 Group Art Unit: 2877
 For: ENCODED VARIABLE FILTER SPECTROMETER
 Docket No.: 1023.1123101

TRANSMITTAL SHEET

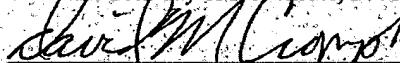
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

this 26th day of November, 2001.

By:


David M. Crompton

We are transmitting herewith the attached:

Amendment

- No additional fee required
 The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

TC 2300 MAIL ROOM
JAN 14 2002

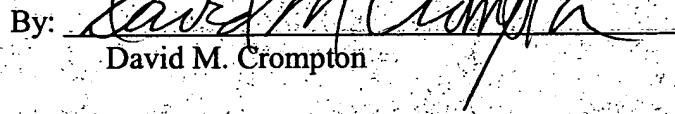
RECEIVED

[] A check in the amount of \$ _____ is enclosed.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT,
FORM PTO-1449 AND CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton

Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
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BEST AVAILABLE COPY



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JAN 14 2002

Robert K. Rowe et al.

TC 2800 MAIL ROOM

Serial No.: 09/832,631

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2877

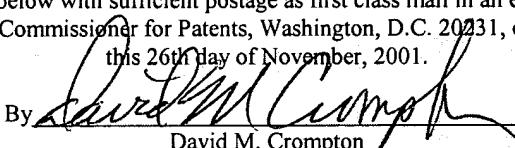
For: ENCODED VARIABLE FILTER SPECTROMETER

Docket No.: 1023.1123101

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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this 26th day of November, 2001.

By 
David M. Crompton

Dear Sir:

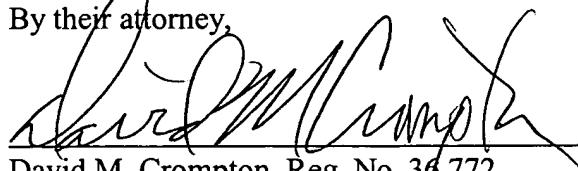
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,



David M. Crompton, Reg. No. 36,772
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Dated: 11/26/01

FORM PTO-1449	JAN 08 2002 PATENT & TRADEMARK OFFICE	Atty. Docket No.: 1023.1123101	Serial No.: 09/832,631
		Applicant: Robert K. Rowe et al.	
		Filing Date	Group Art:
		April 11, 2001	2877

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Page 1 of 1

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.